

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name ☒ Organization/Lobbying Firm ☐ Self Employed Individual

**Covington & Burling LLP**

2. Address ☐ Check if different than previously reported

Address1 **1201 Pennsylvania Avenue, NW** Address2

City **Washington** State **DC** Zip Code **20004** - Country **USA**

3. Principal place of business (if different than line 2)

City State Zip Code - Country

4a. Contact Name

b. Telephone Number c. E-mail

☐ International Number

**RODERICK A. DE ARMENT (202) 662-5900 rdearment@cov.com**

5. Senate ID#

**11195-366**

7. Client Name ☐ Self ☐ Check if client is a state or local government or instrumentality

**JOHN HANCOCK FINANCIAL SERVICES, INC.**

6. House ID#

**318270019**

**TYPE OF REPORT** 8. Year **2009** Q1 (1/1 - 3/31) ☒ Q2 (4/1 - 6/30) ☐ Q3 (7/1-9/30) ☐ Q4 (10/1 - 12/31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ Termination Date 11. No Lobbying Issue Activity ☐

### INCOME OR EXPENSES - YOU MUST complete either Line 12 or Line 13

#### 12. Lobbying

**INCOME** relating to lobbying activities for this reporting period was:

Less than \$5,000 ☐

\$5,000 or more ☒ \$ **60,000.00**

Provide a good faith estimate, rounded to the nearest \$10,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

#### 13. Organizations

**EXPENSE** relating to lobbying activities for this reporting period were:

Less than \$5,000 ☐

\$5,000 or more ☐ \$

**14. REPORTING** Check box to indicate expense accounting method. See instructions for description of options.

☐ **Method A.** Reporting amounts using LDA definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Signature Filed Electronically

Date 04/20/2009

Printed Name and Title Roderick A. DeArment/Partner

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code

INSINSURANCE

(one per page)

16. Specific lobbying issues

Privacy legislation and use of Social Security numbers; long term care insurance partnership provisions; optional Federal charter for insurance companies

17. House(s) of Congress and Federal agencies

☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New                      |
|------------|-----------|--------|---|--------------------------|
| Roderick   | DeArment  |        |   | <input type="checkbox"/> |
| Joan       | Kutcher   |        |   | <input type="checkbox"/> |
|            |           |        |   | <input type="checkbox"/> |
|            |           |        |   | <input type="checkbox"/> |
|            |           |        |   | <input type="checkbox"/> |
|            |           |        |   | <input type="checkbox"/> |
|            |           |        |   | <input type="checkbox"/> |
|            |           |        |   | <input type="checkbox"/> |
|            |           |        |   | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Printed Name and Title

Roderick A. DeArment/Partner

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15. General issue area code

LBR

LABOR ISSUES/ANTITRUST/WORKPLACE

(one per page)

16. Specific lobbying issues

Long Term Care Insurance Program for Federal Workers

17. House(s) of Congress and Federal agencies

☐

Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New                      |
|------------|-----------|--------|---|--------------------------|
| Roderick   | DeArment  |        |   | <input type="checkbox"/> |
| Joan       | Kutcher   |        |   | <input type="checkbox"/> |
|            |           |        |   | <input type="checkbox"/> |
|            |           |        |   | <input type="checkbox"/> |
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|            |           |        |   | <input type="checkbox"/> |
|            |           |        |   | <input type="checkbox"/> |

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15. General issue area code

TAX

TAXATION/INTERNAL REVENUE CODE

(one per page)

16. Specific lobbying issues

Above-the-line deduction for long-term care insurance; permitting long-term care insurance to be part of cafeteria plans and flexible spendign accounts; permitting 401(k) assets to be used to purchase long-term care insurance; leasing legislation to repeal or reform the estate tax; capital gains tax treatment for annuities;tax treatment of COLI and STOLI; limitations on nonqualified deferred compensation plans, the taxation of foreign leasing transactions.

17. House(s) of Congress and Federal agencies

☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name  | Suffix | Covered Official Position (if applicable) | New                      |
|------------|------------|--------|---|--------------------------|
| Roderick   | DeArment   |        |   | <input type="checkbox"/> |
| Joan       | Kutcher    |        |   | <input type="checkbox"/> |
| Elizabeth  | Letchworth |        |   | <input type="checkbox"/> |
|            |            |        |   | <input type="checkbox"/> |
|            |            |        |   | <input type="checkbox"/> |
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15. General issue area code

RET

RETIREMENT

(one per page)

16. Specific lobbying issues

Retirement plan fee disclosure, regulations on default investments

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Labor - Dept of (DOL)

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New                      |
|------------|-----------|--------|---|--------------------------|
| Roderick   | DeArment  |        |   | <input type="checkbox"/> |
| Joan       | Kutcher   |        |   | <input type="checkbox"/> |
|            |           |        |   | <input type="checkbox"/> |
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19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title Roderick A. DeArment/Partner

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15. General issue area code

TORTTORTS

(one per page)

16. Specific lobbying issues

Legislation related to asbestos legislation

17. House(s) of Congress and Federal agencies

☒ Check if None

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New                      |
|------------|-----------|--------|---|--------------------------|
| Roderick   | DeArment  |        |   | <input type="checkbox"/> |
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Printed Name and Title

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15. General issue area code

FIN

FINANCIAL INSTITUTIONS/INVESTMENTS/SECURITIES

(one per page)

16. Specific lobbying issues

CFIUS legislation

17. House(s) of Congress and Federal agencies

☒ Check if None

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New                      |
|------------|-----------|--------|---|--------------------------|
| Roderick   | DeArment  |        |   | <input type="checkbox"/> |
| Joan       | Kutcher   |        |   | <input type="checkbox"/> |
|            |           |        |   | <input type="checkbox"/> |
|            |           |        |   | <input type="checkbox"/> |
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|            |           |        |   | <input type="checkbox"/> |
|            |           |        |   | <input type="checkbox"/> |

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15. General issue area code

FIN

FINANCIAL INSTITUTIONS/INVESTMENTS/SECURITIES

(one per page)

16. Specific lobbying issues

Treasury insurance of money market funds

17. House(s) of Congress and Federal agencies

☐ Check if None

Treasury - Dept of

18. Name of each individual who acted as a lobbyist in this issue area

| First Name | Last Name | Suffix | Covered Official Position (if applicable) | New                                 |
|------------|-----------|--------|---|-------------------------------------|
| Mark       | Plotkin   |        |   | <input checked="" type="checkbox"/> |
|            |           |        |   | <input type="checkbox"/>            |
|            |           |        |   | <input type="checkbox"/>            |
|            |           |        |   | <input type="checkbox"/>            |
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|            |           |        |   | <input type="checkbox"/>            |
|            |           |        |   | <input type="checkbox"/>            |
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